10/654,221

PTO/SB/21 (0503)
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**Application Number** 

| TRANSMITTAL FORM  (to be used for all correspondence after initial filing)  |          | Filing Date  | September 2, 2003                      |   |  |  |  |
|---|----------|--|--|---|--|--|--|
|   |          | First Named Inventor   | Tom Aruti                              | unian   |  |  |  |
|   |          | Group Art Unit   | 3711                                   |   |  |  |  |
|   |          | Examiner Name  | To be Ass                              | signed  |  |  |  |
| Total Number of Pages in This Submission  | 10       | Attorney Docket Number   | ttorney Docket Number 45232.00021.UTL1 |   |  |  |  |
| ENCLOSURES (check all that apply)   |          |  |  |   |  |  |  |
| Fee Transmittal Form  |          | Drawing(s)   |  | After Allowance Communication to Group                            |  |  |  |
| Fee Attached  |          | Licensing-related Papers   |  | Appeal Communication to Board of Appeals and Interferences        |  |  |  |
| Amendment/Reply   |          | Petition   | u daiam - t                            | Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) |  |  |  |
| After Final   |          | Petition to Convert to a Provisional Application                 |  | Proprietary Information   |  |  |  |
| Affidavits/declaration(s)   |          | Power of Attorney, Revoca<br>Change of Correspondence<br>Address |  | Status Letter   |  |  |  |
| Extension of Time Request   |          | Terminal Disclaimer  |  | Other Enclosure(s) (please identify below):                       |  |  |  |
| Express Abandonment Request   |          | Request for Refund   |  | Copy of Notice to File Corrected                                  |  |  |  |
| Information Disclosure Statement  |          | CD, Number of CD(s)  |  | Application Papers (1 pg.)  |  |  |  |
| Certified Copy of Priority Document(s)  | Rema     | rks  |  |   |  |  |  |
| Response to Missing Parts/ Incomplete Application   |          |  |  |   |  |  |  |
| Response to Missing Parts   |          |  |  |   |  |  |  |
| under 37 CFR 1.52 or 1.53   |          |  |  |   |  |  |  |
|   |          |  |  |   |  |  |  |
|   | OF A     | PPLICANT, ATTORNEY,  | OR AGEN                                | Т   |  |  |  |
| Firm for Paul, Hastings, Janofsk  | cy & y   | /alker/12P   |  |   |  |  |  |
| Individual name Signature   |          | ff // Erani  | klin D. Ube                            |   |  |  |  |
|   | L        | // // , rran   |  | II  |  |  |  |
| Date January 23, 2004   |          |  |  |   |  |  |  |
|   |          | TIFICATE OF MAILING  |  |   |  |  |  |
| I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below. |          |  |  |   |  |  |  |
| Typed or printed name Rose Dunne  | _        |  |  |   |  |  |  |
| Signature   | 1        | Sinne  | Date                                   | January 23, 2004  |  |  |  |
| This collection of information is required by 37 CER 1.5. The   | informat | ion is required to obtain as satein                              | - b                                    |   |  |  |  |

process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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|---|--|---|-------------|--|
| FEE TRANSMIT TALE   | Complete if Known                            |   |             |  |
| 1 1   | Application Number                           | 10/654,221                                    |             |  |
| for FY 2004 👊 1 6 2004  | Filing Date                                  | September 2, 2003                             |             |  |
| Effective 10/01/2003. Patent fees are subject to annual revision. | First Named Inventor Tom Arutunian           |   |             |  |
| \ <u>\alpha.</u>  | Examiner Name                                | To be Assigned                                |             |  |
| Applicant claims small entity status. See 37 CF TRADE             | Art Unit                                     | 3711  |             |  |
| TOTAL AMOUNT OF PAYMENT (\$)                                      | Attorney Docket No.                          | 45232.00021.UTL1                              |             |  |

| METHOD OF PAYMENT (check all that apply)                               | FEE CALCULATION (continued) |                           |            |           |  |          |
|--|-----------------------------|---------------------------|------------|-----------|--|----------|
| Check Credit card Money Order Other None                               | 3. ADDITIONAL FEES          |                           |            |           |  |          |
| ⊠ Deposit Account:   |                             | Large Equity Small Equity |            |           |  |          |
| Deposit  | Fee                         | Fee                       | Fee        | Fee       | Foo Donorintian  |          |
| Account 50-2613  | 1051                        | (\$)                      | Code       | (\$)      | Fee Description Fee P  | Paid     |
| Number   |                             | 130                       | 2051       | 65        | Surcharge – late filing fee or oath  |          |
| Deposit<br>Account<br>Name Paul, Hastings, Janofsky & Walker LLP       |                             | 50                        | 2052       | 25        | Surcharge – late provisional fee or cover sheet                            |          |
|  |                             | 130                       | 1053       | 130       | Non-English specification  |          |
| The Director is authorized to: (check all that apply)                  |                             | 2,520                     | 1812       | 2,520     | For filing a request for ex parte  |          |
| Charge fee(s) indicated below  Credit any overpayments                 |                             | 2,520                     | 1812       | 2,520     | reexamination For filing a request for ex parte                            |          |
| Charge any additional fee(s) during the pendency of this application   |                             | 2,020                     | 1012       | 2,020     | reexamination  |          |
| Charge fee(s) indicated below, except for the filling fee              |                             | 920*                      | 1804       | 920*      | Requesting publication of SIR prior to<br>Examiner action                  |          |
| to the above-identified deposit account.                               | 1805                        | 1,840*                    | 1805       | 1,840     | Requesting publication of SIR after<br>Examiner action                     |          |
| FEE CALCULATION  | 1251                        | 110                       | 2251       | 55        | Extension for reply within first month                                     |          |
| 1. BASIC FILING FEE  | 1252                        | 410                       | 2252       | 205       | Extension for reply within second month                                    |          |
| Large Entity Small Entity  | 1253                        | 930                       | 2253       | 465       | Extension for reply within third month                                     |          |
| Fee Fee Fee Fee Code (\$) Fee Paid                                     | 1254                        | 1,450                     | 2254       | 725       | Extension for reply within fourth month                                    |          |
| 1001 770 2001 385 Utility filing fee \$                                | 1255                        | 1,970                     | 2255       | 985       | Extension for reply within fifth month                                     |          |
| 1002 340 2002 170 Design filing fee                                    | 1401                        | 320                       | 2401       | 160       | Notice of Appeal   |          |
| 1003 530 2003 265 Plant filing fee                                     | 1402                        | 320                       | 2402       | 160       | Filing a brief in support of an appeal                                     |          |
| 1004 770 2004 385 Reissue filing fee                                   | 1403                        | 280                       | 2403       | 140       | Request for oral hearing   |          |
| 1005 160 2005 80 Provisional filing fee                                | 1451                        | 1,510                     | 1451       | 1,510     | Petition to institute a public use proceeding                              |          |
| SUBTOTAL (1) (\$)  |                             | 110                       | 2452       | 55        | Petition to revive – unavoidable   | $\dashv$ |
| 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE                            |                             | 1,300                     | 2453       | 650       | Petition to revive – unintentional   |          |
| Fee  |                             |                           |            |           |  |          |
| from Fee Paid  Extra Claims below                                      | 1501                        | 1,300                     | 2501       | 650       | Utility issue fee (or reissue)   |          |
| Total Claims -20**= x =  | 1502                        | 470                       | 2502       | 235       | Design issue fee   |          |
| Indépendent Claims x =   | 1503                        | 630                       | 2503       | 315       | Plant issue fee  |          |
| Multiple Dependent =   | 1460                        | 130                       | 1460       | 130       | Petitions to the Commissioner  |          |
| Large Entity Small Entity  | 1807                        | 50                        | 1807       | 50        | Processing fee under 37 CFR 1.17(g)  |          |
| Fee Fee Fee Fee Code (\$) Fee Description                              | 1806                        | 180                       | 1806       | 180       | Submission of Information Disclosure<br>Stmt                               |          |
| 1202 18 2202 9 Claims in excess of 20                                  | 8021                        | 40                        | 8021       | 40        | Recording each patent assignment per property (times number of properties) |          |
| 1201 86 2201 43 Independent claims in excess of 3                      | 1809                        | 750                       | 2809       | 375       | Filing a submission after final rejection                                  |          |
| 1203 290 2203 145 Multiple dependent claim, if not paid                | 1810                        | 750                       | 2810       | 375       | (37 CFR 1.129(a)) For each additional invention to be                      |          |
| 1204 86 2204 43 ** Reissue independent claims                          | 1801                        | 750                       | 2801       | 375       | examined (37 CFR 1.129(b)) Request for Continued Examination               |          |
| over original patent   | 1802                        |                           |            |           | (RCE)  |          |
| 1205 18 2205 9 Reissue claims in excess of 20 and over original patent |                             | 900                       | 1802       | 900       | Request for expedited examination of a design application                  |          |
| SUBTOTAL (2)   | Other fee (specify)         |                           |            |           |  |          |
| ** or number previously paid, if greater; For Reissues, see above.     | *Red                        | uced by                   | Basic Fili | ing Fee P | aid SUBTOTAL (3) (\$)  |          |
| SUBMITTED BY   | 1                           |                           |            |           | (Complete (if applicable)  |          |
| Name (Print/Type) Franklin D. Ubell Registr.                           | ation No<br>//Agenty        | 27,0                      | 009        |           | Telephone (714) 668-6257   |          |
| Signature  | /                           |                           |            |           | Date January 23, 2004  |          |

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APPLICATION NUMBER

FILING OR 371 (c) DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/654,221

09/02/2003

Tom Arutunian

45232.00021.UTL1

**CONFIRMATION NO. 4760** 

36183 PAUL, HASTINGS, JANOFSKY & WALKER LLP P.O. BOX 919092 SAN DIEGO, CA 92191-9092

**FORMALITIES LETTER** \*OC000000011380611\*

Date Mailed: 12/01/2003

## NOTICE TO FILE CORRECTED APPLICATION PAPERS

## Filing Date Granted

An application number and filing date have been accorded to this application. The application is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given TWO MONTHS from the date of this Notice within which to correct the informalities indicated below. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

The required item(s) identified below must be timely submitted to avoid abandonment:

- Replacement drawings in compliance with 37 CFR 1.84 and 37 CFR 1.121 are required. The drawings submitted are not acceptable because:
  - The drawings have a line quality that is too light to be reproduced (weight of all lines and letters must be heavy enough to permit adequate reproduction) or text that is illegible (reference characters, sheet numbers, and view numbers must be plain and legible) see 37 CFR 1.84(I) and (p)(1)); See Figure(s) 2.

Replies should be mailed to:

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Commissioner for Patents

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A copy of this notice <u>MUST</u> be returned with the reply.

rueuoneV

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